

A stylized illustration of a mountain range with white peaks and blue shadows, set against a light blue sky. The mountains are rendered in a clean, geometric style with varying shades of blue and white.

---

# Gondolatébresztő – a compliance terület kihívásai

Rusz Mária



# External Focus

## ENSURE ALIGNMENT AND TRANSPARENCY

Implement a purposeful and prioritised set of EF actions.

## LEARN, IMPROVE, ADVOCATE

Use EF actions to drive improvements that make a difference, within the company and externally.

## SHAPE INDUSTRY LEGAL REGIMES AND PRACTICES

## ENHANCE QUALITY AND CONSISTENCY OF MATERIALS

Deliver honest, impactful, clear, and professional messages and presentations.

## RAISE AWARENESS AND BUILD TRUST

Work with and through external stakeholders to improve compliance, enhance (and protect) your companies reputation.

# Internal focus

Leadership

Risk Assessment

Standards and Controls

Training and Communication

Monitoring, Auditing and Response



# Perception of compliance



# The Truth



You cannot be sure, and neither can we:

- Following the rules is a **personal and line accountability**.
- Mostly a people and behaviour issue
- We have no “tape which measures compliance”
- We can check what training you have had, but not whether you have **learnt from it** or chosen to ignore it

But we can:

- Check whether communities are using **group services** (e.g. the register, integrity due diligence screening, GRM...)
- Ask you to **attest** that you are doing so
- **Audit** you and
- Learn from your **incidents and legal cases**